

CURRENT BROKER/DEALER INFORMATION:

Chicago Stock Exchange (MAX)

Chicago Stock Exchange (“CHX”) - For securities in which CHX specialists are responsible for making a market, the Association of Chicago Stock Exchange offers WFI rebates on post opening market orders and limit orders in tiers 1, 2 & 3 a flat rate of \$0.0005 per share. For tier 9 issues (low priced or inactive issues) market and limit orders executed after the opening, the rebate is a flat rate of \$0.0001 per share. There is no rebate payment on price improved orders and orders executed on the opening.

Knight Capital Markets (TRIM)

There are no material aspects of the order-routing relationship between Wells Fargo Investments, LLC and this market center.

Knight Securities (NITE)

There are no material aspects of the order-routing relationship between Wells Fargo Investments, LLC and this market center.

Bernard L. Madoff Investments Securities LLC (MADF)

There are no material aspects of the order-routing relationship between Wells Fargo Investments, LLC and this market center.

Automated Trading Desk (ATD)

There are no material aspects of the order-routing relationship between Wells Fargo Investments, LLC and this market center.

Pershing (PLK)

There are no material aspects of the order-routing relationship between Wells Fargo Investments, LLC and this market center.

UBS Securities (UBSS)

There are no material aspects of the order-routing relationship between Wells Fargo Investments, LLC and this market center.

Citadel (CDRG)

There are no material aspects of the order-routing relationship between Wells Fargo Investments, LLC and this market center.